

## Palaeoenvironmental reconstruction and biostratigraphic analysis of the Jurassic Yanliao Lagerstätte in northeastern China



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### ABSTRACT

The Middle–Upper Jurassic Yanliao Lagerstätte contains numerous exceptionally preserved fossils of aquatic and land organisms, including insects, salamanders, dinosaurs, pterosaurs and mammaliaforms. Despite extensive study of the diversity and evolutionary implications of the biota, the palaeoenvironmental setting and taphonomy of the fossils remain poorly understood. We reconstruct both the palaeoenvironment of the Daohugou area (one of the most famous Yanliao fossil areas), and the biostratigraphy of the fossils. We use high-resolution stratigraphic data from field investigation and excavations to document in detail the stratigraphic succession, lithofacies, facies associations, and biostratigraphic features of the Lagerstätte. Our results show that frequent volcanic eruptions generated an extensive volcanoclastic apron and lake(s) in the studied area. The frequent alternation of thin lacustrine deposits and thick volcanoclastic apron deposits indicates either that the studied area was located in the marginal regions of a single lake, where the frequent influx of volcanoclastic apron material caused substantial fluctuations in lake area and thus the frequent lateral alternation of the two facies, or that many short-lived lakes developed on the volcanoclastic apron. Most terrestrial insects were preserved in the laminated, normally graded siltstone, claystone and tuff facies that form many thin intervals with deposits of graded sandstone, siltstone and tuff in between. Within each interval the terrestrial insects occur in many laminae associated with abundant aquatic organisms, but are particularly abundant in some laminae that directly underlie tuff of fallout origin. Most of these terrestrial insects are interpreted to have been killed in the area adjacent to the studied palaeolake(s) during volcanic eruptions. Their carcasses were transported by influxes of fresh volcanoclastic material, primarily meteoric runoff and possibly minor distal pyroclastic flow into the palaeolake(s), and were buried in palaeolake deposits prior to extended decay probably due to a combination of rapid vertical settling, ash fall and water turbulence.

### 1. Introduction

The Middle to Upper Jurassic lacustrine deposits in the region encompassing the confluence of Inner Mongolia, Hebei and Liaoning, northeastern China, preserve numerous exceptionally preserved fossils of land and aquatic organisms (Fig. 1), including plants (algae, mosses, lycophytes, sphenophytes, ferns, seed ferns, cycadophytes, ginkgo-phytes and conifers), invertebrates (bivalves, anostracans, spinicaudatans, arachnids and insects) and vertebrates (fish, salamanders, squamates, pterosaurs, dinosaurs and mammaliaforms) (Huang et al., 2006;

Sullivan et al., 2014; Pott and Jiang, 2017). Many of these taxa represent the earliest examples of their respective clades or shed light on key evolutionary transitions (e.g. Gao and Shubin, 2003; Ji et al., 2006; Luo et al., 2007; Xu et al., 2009; Lü et al., 2010; Luo et al., 2011; Xu et al., 2011; Huang et al., 2012; Bi et al., 2014; Cai et al., 2014; Xu et al., 2015). These fossils were originally considered to be members of the Early Cretaceous Jehol Biota (Wang, 2000; Wang et al., 2000), but subsequently proved to be of Middle–Late Jurassic age; they are currently referred to as the Daohugou Biota (e.g. Zhang, 2002) or as part of the Yanliao Biota (e.g. Ren et al., 2002; Zhou et al., 2010; Xu et al.,

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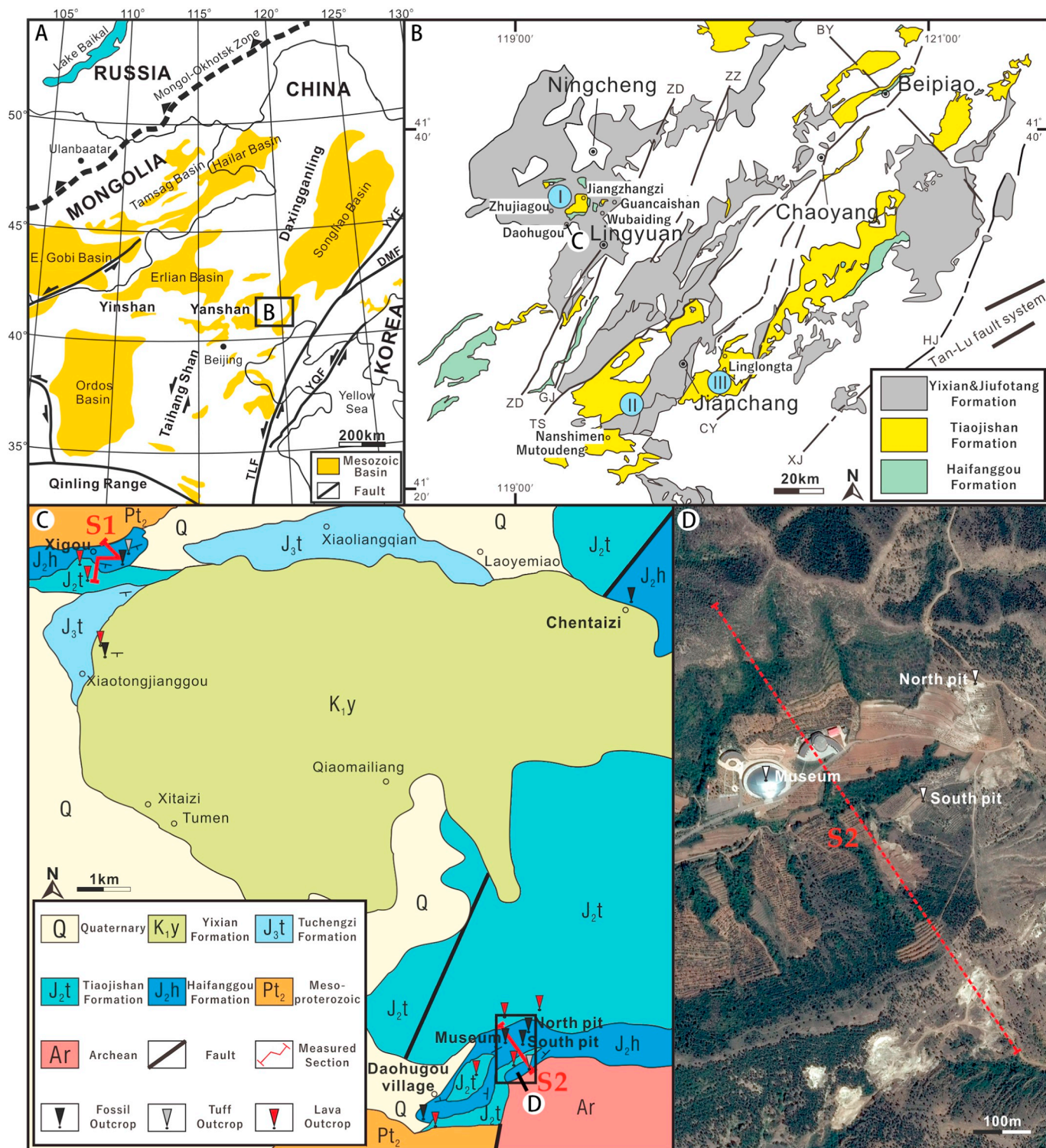
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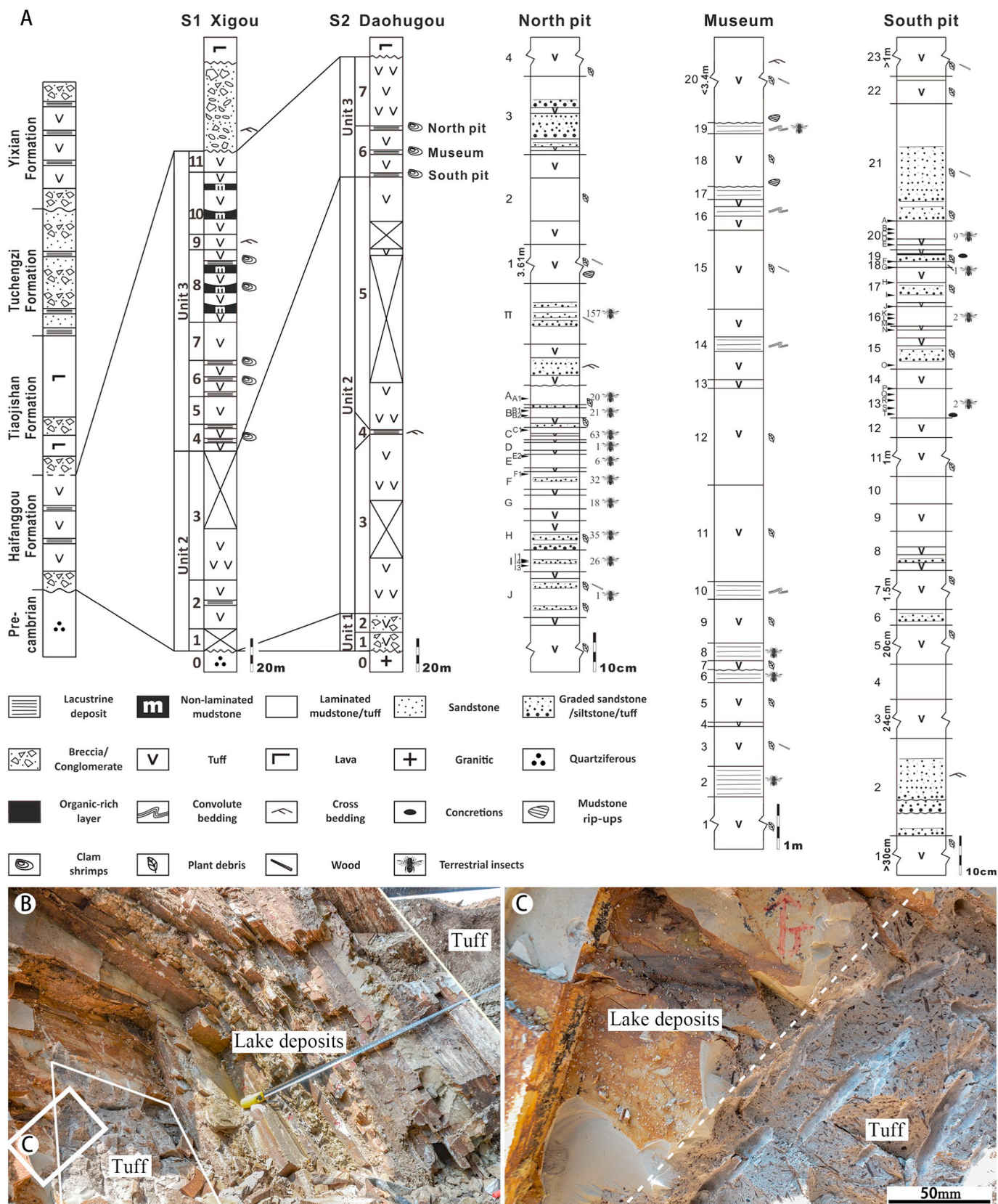


**Fig. 1.** Geological and geographic setting of the studied area. A. Tectonic framework and distribution of the Mesozoic basins in the Yinshan–Yanshan tectonic belt (modified from Meng, 2003 and Y. Zhang et al., 2008); DMF, Dunhua–Mishan fault; TLF, Tan–Lu fault-YYF; YQF, Yalvjiang–Qingdao fault; Yilan–Yitong fault. B. Distribution of the Yixian/Jiufotang, Tiaojishan, and Haifanggou formations in a series of northeast-oriented basins (modified from Jiang and Sha, 2006); I, Lingyuan–Sanshijiazi basin; II, Jianchang basin; III, Jinlingsi–Yangshan basin; BY, Beipiao–Yixian fault; CY, Chaoyang–Yaowangmiao fault; GJ, Western Guojiaodian Basin fault; HJ, Hartao–Jinzhou fault; TS, Western Tangshenmiao Basin fault; XJ, Xipinggou–Jinxu fault; ZD, Zhangjiayingzi–Daoerdeng fault; ZZ, Zhuluoke–Zhongsanjia fault. C–D. Geological sketch map (C) and Google Earth satellite image (D) showing the studied outcrops and sections (modified from Liu et al., 2004).

2016). This paper uses the latter term, as the extent to which the term “Daohugou Biota” is applicable to strata containing similar fossils outside the Daohugou area is currently debated (Zhou et al., 2010; Huang, 2016; Xu et al., 2016). The Yanliao Biota spans about 10 million years and is divided into two phases: the Bathonian–Callovian

Daohugou phase and the Oxfordian Linglongta phase, named after representative fossil localities (Xu et al., 2016).

Fossils of the Yanliao Biota are often well articulated and exceptionally preserved. Available evidence indicates that many are autochthonous or parautochthonous, or allochthonous with very limited



**Fig. 2.** A. Stratigraphy (left) of the Mesozoic in general and the Haifanggou Formation in particular (S1, S2, locations shown in Fig. 1C) in the Daohugou area, and high-resolution sections (right) from two excavation pits and the Daohugou Palaeontological Fossil Museum (locations shown in Fig. 1C–D) showing numbers and occurrence horizons of collected terrestrial insects. B. Excavated section in North pit shows that lacustrine deposits directly overlie and underlie crudely bedded tuffs (bottom is on the lower left). C. Close-up view of the transition from crudely bedded tuff to laminated lake deposits.

evidence of decay. Examples include (i) densely packed valves of clam shrimps (Spinicaudata) that preserve delicate carapace ornamentation and sometimes remains of soft tissue such as the head, telson, antennae and eggs (Shen et al., 2003; Liao et al., 2017); (ii) Ginkgoales with leaves attached to shoots and with ovule clusters connected to the peduncle (Zhou et al., 2007); (iii) cicadas exhibiting fragile structures such as filiform antennae, tarsomeres with spines and hairs, and abdominal appendages (Wang et al., 2013); (iv) salamanders with various soft-tissue features such as body outlines, gill rakers, external gill filaments, caudal fins, eyes, livers, and even intestinal contents (Gao et al., 2013); (v) pterosaurs preserving exquisite integumentary structures including keratinous unguis sheaths, multi-layered wing membrane structures and densely packed melanosomes (Kellner et al., 2010; Li et al., 2014); (vi) dinosaurs with preservation of filamentous, ribbon-like and pennaceous feathers (and their constituent melanosomes) (Xu and Zhang, 2005; F. Zhang et al., 2008; Xu et al., 2009; Xu et al., 2011; Li et al., 2014); and (vii) mammaliaforms with preserved hair, patagia and skin (Bi et al., 2014; Ji et al., 2006; Luo et al., 2007; Meng et al., 2006). These fossils have contributed significantly to our understanding of Jurassic terrestrial ecosystems, especially in terms of the evolution of integumentary structures in paravian dinosaurs and mammaliaforms (feather and fur, respectively), and the ecological diversification of mammaliaforms (Xu et al., 2014; Martin et al., 2015). The biota is rapidly emerging as one of the most important Mesozoic Lagerstätten.

Despite extensive research on the biotic diversity and evolutionary significance of the Yanliao Biota, the palaeoenvironmental setting is poorly understood (Wang et al., 2009; Liu et al., 2010; Wang et al., 2013; Na et al., 2015; Huang, 2016; Xu et al., 2016). It is widely accepted that the fossils are hosted within laminated lacustrine deposits. It is unclear, however, whether these deposits represent a single lake or several lakes. The terrestrial members of the biota are considered to have been killed predominantly by volcanic activity based on the extensive distribution of volcanic rocks in the Yanliao sequences (e.g. Liu et al., 2010; Wang et al., 2010; Wang et al., 2013), but the bioturbation of the fossils is poorly understood.

In this paper, we present the results of a systematic study of the sedimentology and palaeoenvironment of the Middle–Upper Jurassic sequence in the Daohugou area (Fig. 1). Our results shed light on key issues such as the origin of the palaeolakes, the mode of sedimentological deposition and the nature of the surrounding ecosystem, in addition to environmental factors that potentially influenced the exceptional fossil preservation that is characteristic of the biota.

## 2. Geological setting

The studied area lies at the easternmost edge of the Yinshan–Yanshan tectonic belt (Davis et al., 1998; Y. Zhang et al., 2008), which extends westward at least 1100 km from China's east coast to Inner Mongolia along the northern edge of the North China craton (Fig. 1A). This belt is interpreted as having formed under the far-field effects of synchronous convergence of two plates (the Siberian in the north and the palaeo-Pacific in the east) toward the East Asian continent, during the Middle Jurassic to Early Cretaceous (Nie et al., 1990; Yin and Nie, 1996; Ziegler et al., 1996; Y. Zhang et al., 2008). Structures in the western and central parts of the belt trend predominantly eastward, whereas those in the easternmost part trend predominantly northeastward. The most obvious fold structures are synclines (and synforms) filled with Jurassic and Cretaceous strata; these are separated from anticlines with Precambrian rocks at the core by thrust and reverse faults that dip away from syncline hinges (Davis et al., 1998).

The Yanliao Biota was discovered in the Jurassic Haifanggou and Tiaojiashan formations (or Lanqi Formation in studies earlier than the 1990s) in three of these northeast-trending synclines (and synforms), belonging to the following basins: Lingyuan–Sanshijiazhi (localities in the Daohugou, Zhujiagou and Jiangzhangzi areas in southeastern Inner Mongolia, and the Wubaiding and Guancaishan areas in Western

Liaoning Province); Jianchang (localities in the Mutoudeng and Nanshimen areas in northern Hebei Province); and Jinlingsi–Yangshan (the Daxishan locality in Linglongta Town, Western Liaoning Province) (Fig. 1B) (Wang et al., 1989; Wang et al., 2005; Sullivan et al., 2014; Huang, 2016; Xu et al., 2016). The Daohugou area in this paper includes the fossil localities at Xigou, Chentaizi and Daohugou villages (Fig. 1C).

The Jurassic strata in these basins unconformably overlie Triassic (or older) strata, and unconformably underlie the Lower Cretaceous Yixian and Jiufotang formations that yield the Jehol Biota (Figs. 1B–C and 2A). The Jurassic sequence consists of two stratigraphic successions bounded by a regional unconformity. The lower succession comprises the volcanic Xinglonggou Formation and the overlying coal-bearing Beipiao Formation. The upper succession is composed of the volcanic Haifanggou and Tiaojiashan formations and the overlying Tuchengzi Formation (Wang et al., 1989). The geochronological framework of the sequence is constrained by radiometric dating, which indicates that the Xinglonggou, Tiaojiashan and Tuchengzi formations have ages of 177 Ma, 166–153 Ma and 154–137 Ma, respectively (Yang and Li, 2008; Xu et al., 2012; Xu et al., 2016).

The strata that contain the Yanliao Biota in the Daohugou area, the Daohugou Beds, were assigned to the Haifanggou Formation (Wang et al., 1989; Zhang, 2002; Shen et al., 2003; Huang et al., 2006; Jiang, 2006), which was considered to be coeval with the Jiulongshan Formation in Beijing and Hebei (Ren et al., 2002; Shen et al., 2003; Huang et al., 2006; Jiang, 2006). In the studied area, the Haifanggou Formation unconformably overlies Archean granite gneiss or Mesoproterozoic quartz sandstone and conglomerate, and conformably or locally unconformably underlies volcanic breccia, tuffaceous conglomerate and intermediate or basic lava of the Tiaojiashan Formation (Figs. 1C and 2A). It is worth noting that the local low-angle unconformity between the Haifanggou and Tiaojiashan formations (Huang, 2015) is an example of a volcanic unconformity rather than an unconformity in conventional stratigraphy that represents a significant hiatus or gap in the stratigraphic succession. Volcanic unconformities can be generated during the construction of a central volcanic edifice or a stratovolcano involving destructive processes such as major sector failures or collapse calderas, or in successive eruptions (i.e. inter-eruptions) that are separated by short periods of repose, or even between different pulses of the same eruption (intra-eruption), as volcanic deposits are strongly topographically dependent and may have great erosive capacity when they pass over poorly consolidated pyroclastic or sedimentary materials, as is obvious in the case of lava flows and most pyroclastic density current deposits and lahars. Thus, significant minor unconformities may exist in the interior of a single eruptive unit or in the basal or upper contact zones of volcanic units (Martí et al., 2018), and yet these need not indicate the passage of appreciable amounts of time.

Recently determined radiometric ages for the volcanic rocks overlying the fossil-bearing strata in the Daohugou area include 165–164 Ma (Chen et al., 2004), 159.8 Ma (He et al., 2004) and 164–158 Ma (Liu et al., 2006). These values indicate a largely Bathonian to Callovian age for the Haifanggou Formation, corresponding to the older Daohugou phase of the Yanliao Biota (Xu et al., 2016).

## 3. Methods

Two relatively continuous sections were measured (S1 and S2 in Figs. 1C and 2A). Small-scale pits (about 15–20 m<sup>2</sup> in area and 3–4 m deep; North pit and South pit in Figs. 1C–D and 2) were excavated into two fossiliferous parts of section S2 to document, at a sub-centimetre scale, the diversity, abundance, completeness and articulation, and plan-view orientation (Supplementary material Appendix 1) of the fossils and the lithology of the host strata. Freshly exposed rock was hard to split layer by layer, so we tried frost-splitting particularly for tough large rock slabs, which were frozen in refrigerating cabinets for over 24 h and then exposed to sunshine until they were ready for splitting. Slab thicknesses were determined by splitting readiness, ranging from 20 mm to 155 mm. The slabs of each interval were then

searched for fossils layer by layer. The intervals that were fossiliferous and quantitatively studied were named alphabetically in a stratigraphically descending order, except for interval II, which was highest in the North pit but was discovered later than some of the lower intervals. A detailed quantitative palaeobiological analysis of the fossils will be presented elsewhere (Wang et al., in prep.). Stratigraphically between the two excavated parts, an additional fossiliferous part of section S2 exposed in the Daohugou Palaeontological Fossil Museum (Figs. 1C–D and 2) was measured for lithology and fossil content. A total of 216 thin sections of representative lithofacies were prepared for petrographic analysis.

## 4. Results

### 4.1. Stratigraphy

The two measured sections (S1 and S2 in Fig. 2A) reveal that the Daohugou Beds in the Daohugou area consist of three units, in ascending order: (1) greenish grey, massive lapilli tuff-breccia with rare grey, laminated mudstone intercalations; (2) greenish to pinkish grey, crudely bedded to massive tuff with rare intercalations of grey, graded sandstone, siltstone and tuff, grey laminated mudstone and grey to white, laminated to thinly bedded tuff; (3) greenish to pinkish grey, crudely bedded to massive tuff alternating with grey, laminated to horizontally bedded lacustrine deposits, yielding abundant fossils of insects, clam shrimps, plants and rare vertebrates.

Previous research showed that most Yanliao fossils were recovered from the laminated lacustrine deposits of Unit 3 (Sullivan et al., 2014; Cheng et al., 2015; Luo et al., 2015) (Fig. 2A). Poorly preserved fossils of bivalves, anostracans, clam shrimps, insects and plants have also been reported from rare laminated mudstone intercalations in Unit 1 (Huang et al., 2015).

### 4.2. Depositional environments

#### 4.2.1. Petrology

The classification of the volcanoclastic rocks in this paper follows Schmid (1981). Sediments of the Daohugou Beds are mostly volcanoclastics, consisting mainly of angular crystals of quartz and vitric shards, with minor plagioclase, biotite and pumice, and rare scoria and juvenile lithics in a vitric or argillaceous matrix (Figs. 3 and 4). Vitric grains are usually blocky or platy in shape, exhibiting low vesicularity and sometimes moss-like surface texture, with minor Y-shaped bobble wall shards, and fine- to extremely fine-grained (mostly 20–60 µm wide) (Figs. 3B–C and 4B–D). The dominance and fine grain size of the angular volcanoclasts, and the morphology of the vitric grains, suggest that the eruptions were mainly phreatomagmatic (Heiken, 1972; Self, 1983; Wohletz, 1983; Fisher and Schmincke, 2012).

The volcanoclastics underwent varying degrees of alteration. Devitrification is particularly common, indicated by fuzzy microcrystalline textures at, and close to, the margins of vitric grains (Figs. 3B–C and 4B–D) (Lofgren, 1971; Streck and Grunder, 1995). X-ray diffraction (XRD) analysis (Supplementary material Appendix 1–2) on the fossil-bearing mudstone from Unit 3 reveals a mean composition including 21.5% montmorillonite and 16.5% illite, reflecting montmorillonitization and subsequent illitization of vitric grains (Fisher and Schmincke, 2012; Huff, 2016), and 22.5% vermiculite, probably weathered from biotite (Pozzuoli et al., 1992).

Pyroclastic flows generated subaerially but deposited subaqueously resemble flows generated by re-sedimentation of fresh volcanic materials in both sediment composition and flow behaviour and thus may produce almost identical deposits, especially for low-temperature and distal pyroclastic flows (Whitham, 1989; Mandeville et al., 1996; Fisher and Schmincke, 2012). The Daohugou volcanoclastics may thus include both pyroclastic and epiclastic deposits. Regardless of their origins, however, we categorize these sediments together in two lithofacies: graded sandstone,

siltstone and tuff, and laminated, normally graded siltstone, claystone and tuff. This categorization is based solely on the common mechanism of sediment transport, i.e. subaqueous density flow and suspension.

#### 4.2.2. Lithofacies

We recognize six lithofacies in the Daohugou Beds (Table 1).

**4.2.2.1. Lithofacies 1: crudely bedded to massive tuff and breccia-bearing lapilli tuff.** This lithofacies can be massive or can show crude parallel-, cross-, or channel-fill bedding, and ranges in thickness from several decimetres to nearly four metres (Fig. 3). The sediments are poorly sorted and occasionally normally graded. Carbonized land plant fragments (up to 0.5 m long) are common (Fig. 3A). Elongated clasts and plant fragments are typically aligned parallel to bedding and often show preferred orientation (Fig. 3A). Vesicles are present as subspherical voids less than 1 mm wide. Irregularly-shaped rip-up clasts of mudstone (cm to dm long) are occasional features at the bases of beds (Fig. 3A, E–F). Accidental lithics (typically 10–30 mm, maximum 2.7 m wide), consist mainly of tuff, granite and mudstone (Fig. 3H) and are rich in lapilli tuff-breccia from Unit 1 (Fig. 2A).

The crude stratification and poor sorting seen in this lithofacies, and the preferred orientation of carbonized plant fragments and elongate clasts, are characteristic of pyroclastic flow deposits (Buesch, 1992; Branney and Kokelaar, 2002; Fisher and Schmincke, 2012). The carbonized plant fragments were probably engulfed when the flows passed over vegetation, as in modern pyroclastic flows (Hudspeth et al., 2010). The presence of mudstone rip-ups and channel-fill bedding in the basal part of some tuffs indicate the initial flows may have been subaqueous and scoured unconsolidated lacustrine deposits (Whitham, 1989; Mandeville et al., 1996), whereas the absence in the overlying tuffs of evidence for subaqueous transport, such as lamination, sorting, grading, or presence of aquatic fossils, could reflect a shift to subaerial deposition of the subsequent flows. The presence of out-sized boulders of country rock in Unit 1 suggests the deposits were formed proximal to phreatomagmatic eruptions accompanied by syneruption landslides, lahars or vent-clearing explosions (Belousov and Belousova, 2001; Hungr et al., 2001; Manville et al., 2009).

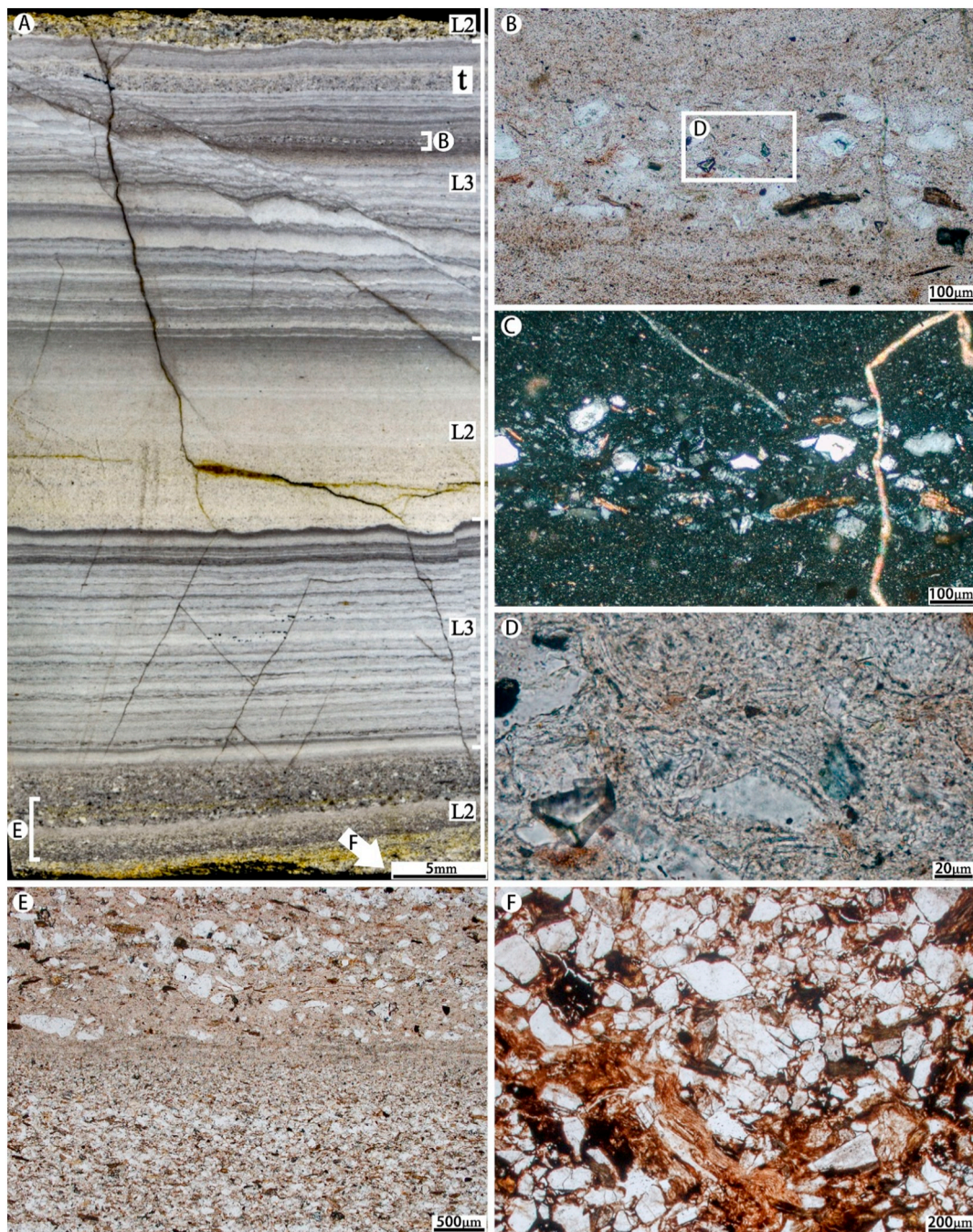
**4.2.2.2. Lithofacies 2: graded sandstone, siltstone and tuff.** This lithofacies consists of horizontal-, wavy- and cross-stratified, moderately sorted, normally graded sandstone, sandy siltstone and siltstone (Figs. 4A, E–F and 6). Strata are usually 0.35–300 mm thick, with a sharp, sometimes erosive base, uneven top and lateral variations in thickness (Figs. 4A and 6). Clasts can exhibit a jigsaw fracture pattern, a branching network of cracks on the clast surfaces or cutting through individual clasts (Fig. 4F). Plant debris is common, as are syndepositional deformational structures, such as load casts, rip-up clasts, convolute bedding and slump structures (Figs. 3G, 4A and 6).

This lithofacies resembles flood deposits generated by hyperpycnal flows (e.g. Kassem and Imran, 2001; Mulder and Alexander, 2001; Alexander and Mulder, 2002; Chapron et al., 2007). The dominant fresh volcanoclastic component of the sediments suggests that the flows represent either influxes of surface runoff carrying recently erupted tephra or distal subaqueous deposits of pyroclastic flows (Whitham, 1989; Mandeville et al., 1996; Mulder and Alexander, 2001; Fisher and Schmincke, 2012). Part of the tephra may have remained sufficiently hot at the site of deposition to produce the jigsaw-fractured clasts by quenching fragmentation (Whitham, 1989; Büttner et al., 1999; Fisher and Schmincke, 2012).

**4.2.2.3. Lithofacies 3: laminated, normally graded siltstone, claystone and tuff.** This lithofacies occurs mostly in the lower part of Unit 3 (Fig. 2A). It is moderately to well-sorted and has normally graded laminae with sharp non-erosive bases and uneven tops (Figs. 4A–C and 6). The laminae usually are 100 µm–3 mm thick, but thickness can vary laterally (Fig. 6D). Millimetre-scale soft-sediment deformation



**Fig. 3.** Sedimentary texture and structure of crudely bedded to massive tuff and breccia-bearing lapilli tuff (Lithofacies 1). A. Plant fragments (p) with preferred orientation, mudstone rip-ups (m), and juvenile lapilli (j); the coin is 19 mm in diameter. B–C. (B) Y-shaped and (C) blocky, platy vitric shards in an extremely fine-grained vitric matrix; note the separated vesicles in vitric grains (arrows in C); plane-polarized photomicrographs. D. Crudely parallel to cross bedding (arrow); the pencil is 15 cm long. E–F. Migrated channel-fill bedding (E) and close-up of the scoured underlying non-laminated mudstone (F, Lithofacies 5); note the rip-ups (arrows) derived from the red non-laminated mudstone; the coin is 19 mm in diameter. G. Large-scale slump of lacustrine deposits (dashed line) within the tuff; note the undisturbed lacustrine deposits underlying the tuff; the round signs are 17 cm in diameter. H. Outsized exotic boulder of mudstone (dashed line) within lapilli tuff-breccia; the hammer is 28 cm long. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



**Fig. 4.** Sedimentary texture and structure of graded sandstone, siltstone and tuff (Lithofacies 2) and laminated, normally graded siltstone, claystone and tuff (Lithofacies 3). A. A polished section from interval II (stratigraphic position shown in Fig. 6A) shows alternation of the two lithofacies (L2 and L3) with intercalation of tuff lamina (t, Lithofacies 6). B–C. Plane-polarized and cross-polarized close-up views, respectively, of the narrow stratigraphic interval marked in A shows the laminated, normally graded siltstone, claystone and tuff is mainly composed of vitric and crystal grains. D. Plane-polarized close-up view of the rectangle marked in B shows vitric grains are locally aligned parallel to margins of larger clasts. E–F. Plane-polarized close-up views at different scales of the narrow stratigraphic intervals marked in A show normal grading (E) and quenching (F) structures in the graded sandstone, siltstone and tuff.

structures, including wavy lamination and microfaults which locally penetrated and distorted a limited number of laminae (Figs. 4A and 6), are common. Vitric chips locally aligned parallel to the margins of larger clasts represent possible welding structures (Fig. 4B–D).

The lithofacies shows characteristics of suspended-load-dominated hyperpycnal flow deposits, such as normal grading, a sharp but non-erosive base and uneven top, common small-scale syndepositional deformation structures, and closely associated deposits of graded sandstone, siltstone and tuff (Sturm and Matter, 1978; Anderson et al., 1985; Mulder and Chapron, 2011). The flow was very low-energy, failing to

erode the lake floor, and deposited sediments from buoyant plumes concordant to lake-floor topography (Chapron et al., 2007; Ducassou et al., 2008). The occasional welding structure suggests that part of the tephra may have been remained relatively hot at the site of deposition (Whitham, 1989; Fisher and Schmincke, 2012).

**4.2.2.4. Lithofacies 4: laminated, rhythmic siltstone and claystone.** This lithofacies is moderately to well-sorted and consists of laterally persistent couplets of clay-poor (dark) and clay-rich (light) laminae (ca. 30–700  $\mu\text{m}$  thick) with a clearly bimodal grain size distribution

**Table 1**  
Lithofacies and facies associations in the Daohugou Beds.

Lithofacies	Description	Interpretation	Facies associations <sup>a</sup>		
			Volcaniclastic apron	Fan delta	Lake floor
1	Crudely bedded to massive tuff and breccia-bearing lapilli tuff	Crudely parallel-, cross-, channel-fill bedded or massive; poorly sorted; ungraded or normally graded; oriented carbonized plant debris; up to 4 m thick	Pyroclastic flow	A	
2	Graded sandstone, siltstone and tuff	Parallel- or cross-bedded to laminated; normally graded; soft sediment deformation; preferred orientation of plant debris; non- to moderately fossiliferous; millimetres to centimetres thick	Transformed subaqueous pyroclastic flow and hyperpycnal flow	D	P
3	Laminated, normally graded siltstone, claystone and tuff	Planar-laminated; moderately to well sorted; normally graded; small-scale syndepositional deformation; highly fossiliferous; laminae 100 µm–3 mm thick	Suspended-load-dominated hyperpycnal flow	A	D
4	Laminated, rhythmic siltstone and claystone	Planar-laminated; moderately to well sorted; normally graded or structureless; couplets of clay-poor and clay-rich laminae; moderately to highly fossiliferous; laminae 30–700 µm thick	Suspension and distal turbidity current	P	A
5	Non-laminated mudstone	Stratified; moderately to poorly sorted; normally graded or structureless; non- to moderately fossiliferous; centimetres to decimetres thick	Suspension and distal turbidity current	P	
6	Laminated to thinly bedded tuff	Stratified to laminated; moderately to well sorted; normally graded; non- to moderately fossiliferous; millimetres to decimetres thick	Subaqueous ash fall	A	A

<sup>a</sup> D = dominant; A = associated; P = present.

(Fig. 5). Locally the clay-rich laminae exhibit a brown colour (Fig. 5B). This lithofacies occurs as thin intercalations in Lithofacies 3, particularly at interval E in the North pit and intervals 2, 13 and 16 in the South pit.

The lithofacies resulted from predominantly distal turbidity flow and suspension settling (Sturm and Matter, 1978; Anderson et al., 1985; Nelson et al., 1986; Smith, 1986). The couplet laminae probably reflect discontinuous accumulation of the biogenic and fine terrigenous particles, resembling couplets produced in modern seasonally stratified water columns (Sturm and Matter, 1978; Sturm, 1979).

**4.2.2.5. Lithofacies 5: non-laminated mudstone.** This lithofacies occurs in the upper part of Unit 3 (Fig. 2A). It occurs as poorly to moderately sorted, normally graded or internally structureless beds 35 mm to ca. 0.8 m thick (Fig. 3E–F).

This lithofacies probably represents distal turbidity flow and suspension deposits (Chun and Chough, 1995; Larsen and Crossey, 1996). The lack of internal structure may reflect extensive bioturbation or rapid deposition of suspended sediment (Sturm, 1979; Reineck and Singh, 2012).

**4.2.2.6. Lithofacies 6: laminated to thinly bedded tuff.** This lithofacies occurs as frequent, irregular intercalations of laminae or minor thin beds (200 µm–200 mm thick) in Lithofacies 2–4 (Figs. 5A and 6C). It is laterally persistent with a sharp base and gradual upper contact, fine to extremely fine-grained, and normally graded with a vitric-rich top (Figs. 5A and 6C). Small plant fragments are common.

This lithofacies is interpreted as subaqueous ash fall deposits based on the dominance of crystal and vitric particles as well as its lateral persistence, associated subaqueous deposits, sharp basal contact and gradual upper contact, and normal grading (Niemi, 1977; Allen and Cas, 1998; Fisher and Schmincke, 2012).

#### 4.2.3. Facies associations

The six lithofacies form three facies associations that characterize distinct palaeoenvironments: volcaniclastic apron, fan delta and lake floor (Table 1).

The volcaniclastic apron comprises Lithofacies 1, i.e. pyroclastic flow deposits associated with proximal fallout and eruption-related lahar deposits (Smith, 1988, 1991; Riggs and Busby-Spera, 1990). The presence of outsized boulders of mudstone in the basal part of the sequence reflects initial vent-clearing explosions that probably occurred when rising magma interacted with water-saturated sediments (Wilson and Walker, 1985; Belousov and Belousova, 2001).

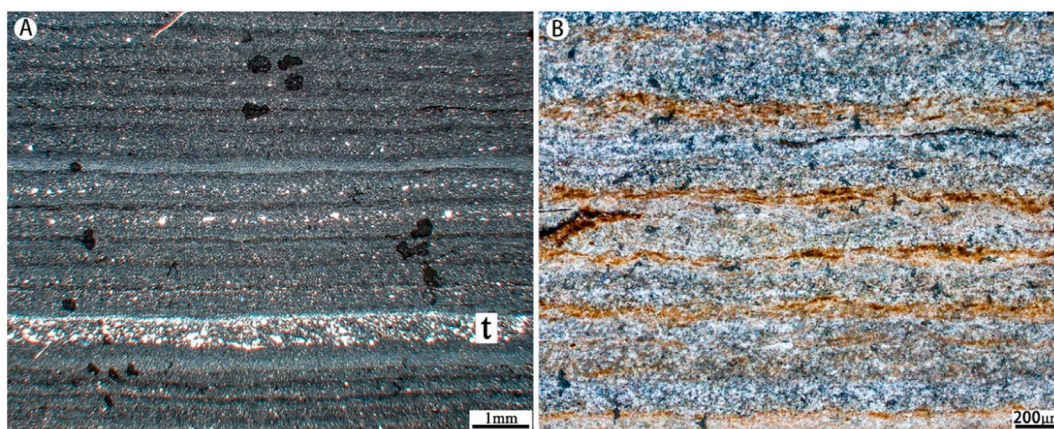
The fan delta is characterized by Lithofacies 2 in association with Lithofacies 1, 3 and 6, with a minor component of Lithofacies 4 and 5. The closely associated deposits arising from subaerial pyroclastic flow, subaqueous ash fall, hyperpycnal flow and suspension reflect a fan delta environment subject to significant influx of fresh volcaniclastic sediments (Nemec and Steel, 1988; Whitham, 1989; Horton and Schmitt, 1996; Mandeville et al., 1996).

The lake floor comprises mainly Lithofacies 3 in association with Lithofacies 4 and 6, with a minor component of Lithofacies 2. The dominance of distal turbidity current and suspension deposits, together with the abundance of aquatic fossils, suggest a lake floor environment of the kind typically found in the low-energy central basins of temperate lakes (Sturm and Matter, 1978; Nelson et al., 1986; Reineck and Singh, 2012), based on the dominance of sediments formed by hyperpycnal flow. Frequent intercalations of tuff laminae or thin beds indicate that frequent volcanic eruptions occurred in the area.

#### 4.3. Community composition and fossil preservation

Most fossils are preserved in laminated mudstone (Lithofacies 3 and 4), although rare aquatic fossils, especially clam shrimps, also occur scattered in Lithofacies 2, 5 and 6, and occasionally in Lithofacies 1





**Fig. 5.** Sedimentary texture and structure of laminated, rhythmic siltstone and claystone. A. Cross-polarized photomicrograph shows regular couplets of clay-rich (dark) and clay-poor (light) laminae interrupted by a tuff lamina (t, Lithofacies 6) from a stratigraphic interval exposed near South pit. B. Plane-polarized photomicrograph shows brown clay-rich couplet laminae; from interval 16 in South pit. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

(Figs. 2A and 6). With rare exceptions (clam shrimps preserved in three-dimensions in Lithofacies 2 and 5), most fossils are preserved in two dimensions with their ventrodorsal or lateral surfaces parallel to the bedding planes.

Benthic aquatic organisms are abundant, but of low diversity. Only four species were identified (Wang et al., in prep.): the clam shrimp *Triglypta haifanggouensis*, recently reviewed by Liao et al. (2017); larvae of the mayfly *Fuyous gregarius* and *Shantous lacustris*; and the water boatman *Daohugocorixa vulcanica*. Clam shrimps are the dominant benthic aquatic group and occur in all fossiliferous horizons, with a density of over 1000/m<sup>2</sup> in 31 of the 35 quantitatively studied horizons. They can be extremely concentrated in Lithofacies 4, with a density of up to 14,487/m<sup>2</sup> (extrapolated from measurement of an 81.45 cm<sup>2</sup> surface). Mayfly larvae and water boatmen are less common. Mayfly larvae occur in 25 of the 35 quantitatively studied horizons, with a density of up to 421/m<sup>2</sup> (extrapolated from measurement of a 284 cm<sup>2</sup> surface), and water boatmen exist in 21 horizons with a density of up to 251/m<sup>2</sup> (extrapolated from measurement of a 636 cm<sup>2</sup> surface) (Wang et al., in prep.). Most of these fossils are articulated with little fragmentation and typically retain delicate details such as carapace ornamentation (clam shrimps), tergalia and cerci (mayfly larvae), and setae (water boatmen).

Terrestrial animals are represented exclusively by insects and are rare in the South pit (only 14 specimens were recovered in four horizons; Fig. 2A). In contrast, insects are abundant in the North pit, in which 380 specimens representing 15 orders and 57 families were collected from 11 intervals (Fig. 2A). Among these, 54.9% of specimens are edaphic taxa, 37.3% sylvan, and 7.8% alpine (Wang et al., in prep.). Most terrestrial insects are preserved in Lithofacies 3 that forms many thin intervals (ca. 7–28 mm thick) with deposits of lithofacies 2 in between (Figs. 2, 4 and 6). Within each interval the insects occur in many laminae associated with abundant aquatic organisms, but are particularly abundant in some laminae that directly underlie tuff of fallout origin (Fig. 6). The associated fossils of aquatic insects sometimes show preferred plan-view orientation (e.g. horizons B2, C1, F1 and I3, Supplementary material Appendix 2). Most terrestrial insects are well preserved: over 50% of the specimens are complete and articulated, and various fine anatomical details are preserved (e.g., cerci, filiform antennae, tiny spines and setae) (Wang et al., in prep.).

Similarly, terrestrial plants are rare in the South pit (only five specimens were found) but more abundant in the North pit (114 specimens were recovered from the 11 fossiliferous intervals mentioned above (Fig. 2A)). The plants include ferns, caytonialeans, bennettites, ginkgophytes, czekanowskialeans and conifers. Among these, tall-growing gymnosperms are dominant, preserving entire or large fragments of leaves and organs, while water- or moisture-bound groups such as ferns

are represented by only rare fragmentary remains (Pott and Jiang, 2017).

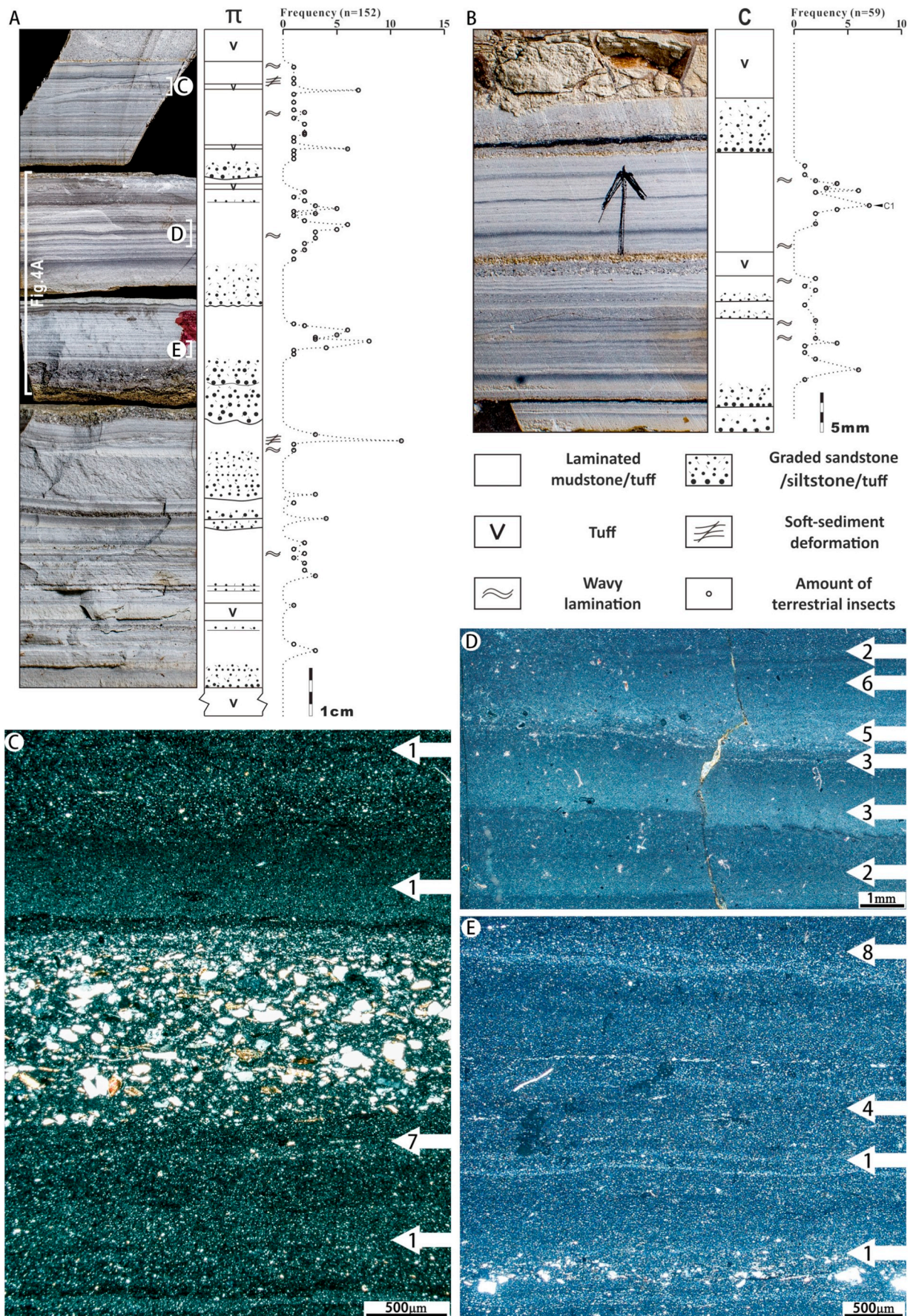
## 5. Discussion

### 5.1. Depositional model

In the studied area, the lake floor and fan delta deposits are very thin, ranging from ca. 0.2 to 1 m thick, while the volcanoclastic apron deposits, which sandwich successive lacustrine intervals, are often much thicker (up to at least 4.4 m thick) (Fig. 2A; e.g. intervals 11–13 in Museum section). This distribution of lacustrine and volcanoclastic apron deposits indicates that the lacustrine sediments formed on, and were derived mainly from, volcanoclastic apron deposits that directly underlie them (Figs. 2 and 7).

There are two scenarios that could account for this frequent alternation of thin lacustrine deposits and thick volcanoclastic apron deposits. The studied section could represent deposition in marginal regions of a single lake, with the frequent influxes of volcanoclastic apron material causing substantial fluctuations in lake area and resulting in the frequent and abrupt lateral alternation of the two facies. The lake would necessarily have had steep margins with restricted development of marginal facies in littoral and shallow-water zones, at least at the studied sites, because there is no evidence for typical shallow-water wave activity such as wave-formed ripples or cross-stratification. The influxes of volcanoclastic material, including remobilized sediments on the marginal slope, may have frequently disturbed the underlying lacustrine deposits and formed the observed large mudstone rip-ups and slump structures (Figs. 3A, E–G and 6).

Alternatively, many comparatively short-lived lakes could have developed on the volcanoclastic apron in the studied area, as commonly seen in modern analogues (Anderson et al., 1985; Blair, 1987a; Manville et al., 2001; Dale et al., 2005; Christenson et al., 2015). These lakes may have been filled up with volcanoclastic material or breached, as a result of damage to their shorelines from volcanic eruptions, and then covered by volcanoclastic apron sediments arising from subsequent eruptions. The extensive disturbance of the lacustrine deposits (Figs. 3A, E–G and 6) may then have resulted from the process of filling or breaching the lakes, similar to the final lake-fill sequence in the Eocene Challis volcanic field, where extensive pyroclastic deposits filled a ca. 20 m deep intermontane lake (Palmer and Shawkey, 1997, 2001). The fine-textured tephra from the top of the volcanoclastic apron deposits would have formed a water-tight surface crust after wetting (Dale et al., 2005), upon which another cycle of lake sediments accumulated (Fig. 7C).



(caption on next page)

**Fig. 6.** Occurrences and abundance of terrestrial insects. A–B. Polished sections show stratigraphic successions and numbers of uncovered terrestrial insects for various horizons within the fossiliferous intervals II (A) and C (B) from the North pit; a few specimens (5 and 4 from II and C intervals, respectively; see Fig. 2A) were not included due to uncertainty regarding their exact occurrence horizons. C–E. Cross-polarized photomicrographs of the thin sections from the horizons marked in A show the horizons in more detail, and the number of terrestrial insects found in each horizon.

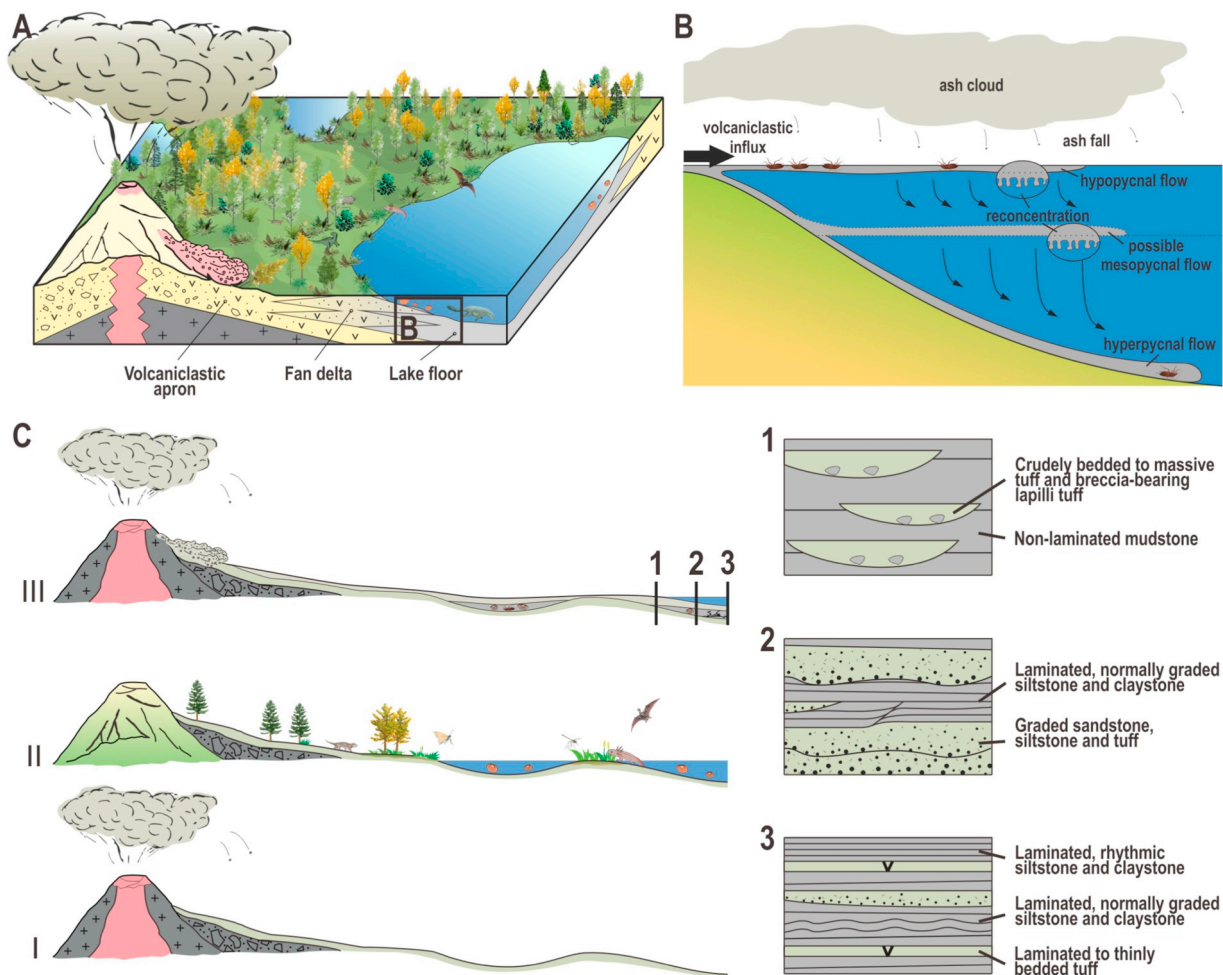
It remains unclear which scenario applies here given the uncertainty about the basin structure and lake topography. Nonetheless, in either case the hydrological regime clearly changed frequently and rapidly at the studied sites, causing the repeated and abrupt shifts from volcanoclastic apron deposits to lacustrine deposits. This may be ascribed to damming of the drainage network and subsequently shaping new lakes or raising water level of pre-existing lakes by the emplacement of volcanic materials, as occurs in modern and recent counterparts to this depositional system (Scott et al., 1996; Simon, 1999; Palmer and Shawkey, 1997, 2001). Active earthquakes and fault movements in the Daohugou area associated with volcanism or tectonics (Liu et al., 2004; Y. Zhang et al., 2008; Huang, 2015) may also have created areas of low topography, disrupting groundwater and surface-water systems (Blair, 1987b; Palmer and Shawkey, 1997, 2001).

5.2. Biostratigraphic model

The high abundance of aquatic taxa in the lacustrine deposits reflects a combination of high population densities and time averaging,

especially in the laminated, rhythmic siltstone and claystone (Lithofacies 4) where clam shrimps occurred in extremely high density while land animals were rare. In contrast, aquatic animals with repeated association of high concentrations of terrestrial insects of different niches and various ontogenetic stages (Liu et al., 2010) in laminated, normally graded siltstone, claystone and tuff (Lithofacies 3), might suggest repeated mass mortality events in the area. The dominant fresh volcanoclastic component of the host sediments and very limited decay of the carcasses before burial (Martínez-Delclòs and Martínell, 1993; Duncan et al., 2003; Wang et al., 2013) suggest that the mass mortality events occurred during, and were probably associated with, volcanic eruptions, resembling similar events resulting from more recent and modern eruptions (Baxter, 1990; Dale et al., 2005; Christenson et al., 2015; Zhou et al., 2016).

The close association of the terrestrial-insect-fossil-bearing laminae (Lithofacies 3) with graded sandstone, siltstone and tuff (Lithofacies 2), indicates that these land animal remains were probably transported into the lake(s) by influxes of fresh volcanoclastic material. Transport of terrestrial vertebrates with complete skeletons and extensive soft tissue



**Fig. 7.** A–B. Depositional model of the Daohugou Beds in the studied area (A), and biostratigraphic model of the land animal remains (B). C. Illustrative sketch (not to scale) of the evolution of the Daohugou lake(s): I–II, waterbodies gradually accumulated on the volcaniclastic apron, where the Daohugou ecosystem was established and developed; II–III, during syneruption periods, volcanoclastic influxes repeatedly devastated the ecosystem and buried the remains, and may even have destroyed the lake completely; keys as in Figs. 2 and 3. Some of the animal figures were revised from reconstructions by Rongshan Li, Nobu Tamura and April M. Isch.

preservation into lacustrine environments has been linked to high-temperature pyroclastic flow (Jiang et al., 2014). Evidence for hot emplacement in Lithofacies 2 and 3, however, is scarce, suggesting that transport mainly involved runoff during high discharge periods associated with eruptions and possibly minor distal low-temperature pyroclastic flows (Sigurdsson et al., 1982); such flows carried newly erupted tephra and remains of terrestrial organisms that were engulfed into the flows into the lake(s), and triggered subaqueous density flows due to the density contrast between the flows and ambient lake water.

As commonly observed in taphonomic experiments, most freshly killed insects remain floating on the water surface in a still water body until significant decay occurs (e.g. Martínez-Delclòs and Martinell, 1993; Duncan et al., 2003; Wang et al., 2013). Indeed, the Daohugou fossils probably drifted far offshore (Fig. 7B), as indicated by the accompanying plant fossil assemblage, which mostly comprises large land plants but includes some low-growing water-related plants (Pott and Jiang, 2017). The abundance and high fidelity of preservation of insects, however, probably reflects minimal flotation prior to burial. Such rapid sinking may be attributed to turbulent water caused by wind or continuing subaqueous density flow (Martínez-Delclòs and Martinell, 1993), but this conflicts with our evidence that the laminae hosting terrestrial insect fossils (Lithofacies 3) mostly resulted from very low-energy flows in which suspension processes represented the dominant form of deposition. Rather, these thin fossiliferous intervals may reflect repeated processes of rapid settling of fine-grained sediment particles and the floating remains, by convective sedimentation. In other words, successive reconcentrations of settling particles within overflow or concentrations of ash fall in the surface water built up the convective instability of the surface layer; this increasing instability then triggered fast-descending convective plumes, forming vertical gravity currents that entrained the remains, and eventually reached the lake floor and generated the very low-energy hyperpycnal flows (Sturm and Matter, 1978; Carey, 1997; Parsons et al., 2001; Ducassou et al., 2008; Davarpanah Jazi and Wells, 2016) (Fig. 7B). This process may result in sedimentation rates one to three orders of magnitude greater than the Stokes settling velocity (Carey, 1997; Davarpanah Jazi and Wells, 2016), and thus probably sealed the remains in a timely fashion and protected them from further decay.

In addition, the occurrence of particularly fossiliferous laminae directly underlying tuff laminae (Fig. 6A and C) may indicate that, even if the ash fall was not concentrated enough to trigger convective sedimentation, it could nevertheless have caused rapid sinking and burial simply by settling on the dead animals and adding to their weight (Tian et al., in prep.).

### 5.3. Palaeoenvironmental implications

The stratigraphic succession shows that intense volcanic eruptions produced extensive volcanoclastic apron deposits in the studied area. One or more lakes developed on the volcanoclastic apron and were subject to frequent influxes of voluminous volcanoclastic sediments (Fig. 7). The aquatic ecosystem of the lake(s) resembled that of modern short-lived waterbodies in being characterized by a low-diversity aquatic fauna with a high population density, dominated by crustaceans (clam shrimp *Triglypta haifanggouensis*) and pond-type salamanders (Vannier et al., 2003; Liu et al., 2010; Sullivan et al., 2014). Although hatching does not require a dry phase, clam shrimps produce eggs that may survive several years (to decades) of drought (Dumont and Negrea, 2002). They disperse through water-, animal- and wind transportation (Tasch, 1969; Webb, 1979; Frank, 1988), and might have easily endured frequent collapses of the lacustrine ecosystem caused by volcanic eruptions. Their successful dispersal strategies mean that clam shrimps would have been able to rapidly colonize newly-established aquatic niches. Ephemeropterid nymphs and water boatmen were less abundant, and they probably colonized the new post-eruption lake habitats by migrating from nearby habitats (Batzer and Wissinger, 1996).

The longevity of the lake(s) is unclear, as the degree of ecological similarity between these benthic aquatic taxa of the Haifanggou Formation and their modern relatives is uncertain. Although clam shrimp population palaeoecology has often been interpreted based on an analogy with the “shallow and temporary” habitats of extant members of this group (e.g., Frank, 1988; Vannier et al., 2003), their ancient analogues clearly occupied a much wider ecological space (Olsen, 2016; Hethke et al., submitted for publication). Particularly clam shrimps from the Jehol Biota, an Early Cretaceous counterpart of the Yanliao Biota from the same geographic region, were recently interpreted to have adapted to life in the perennial volcanic Lake Sihetun that went through an overall shallowing and a consequent shift from holomictic/meromictic to fully holomictic conditions (Hethke et al., submitted for publication). In addition, modern Corixidae can be early colonizers of temporary waters, but many species frequently appear in permanent ponds and lakes as well as streams (e.g. Brown, 1951; Jansson and Reavell, 1999). Further, mayfly nymphs occur in all sorts of water bodies, including shallow areas of deep permanent lakes (e.g. Lyman, 1943; Brittain and Sartori, 2009). The consistently low-diversity associations of clam shrimps, water boatmen and mayfly larvae might thus indicate small regional species richness in, and frequent disturbance of, a set of interconnected habitats (Chase, 2003); alternatively, they may reflect a lack of hydrological connectivity.

The presence of highlands surrounding the lake(s) is supported by extensive fan-delta deposits and the preservation of alpine insects and upland plants in the lacustrine deposits (Liu et al., 2010; Na et al., 2015; Na et al., 2017; Pott and Jiang, 2017). The relatively high abundance of land plant debris in pyroclastic flow deposits and the paucity of epiphytes derived from weathering suggest that the region adjacent to the lake(s) was well vegetated. This is consistent with the warm and humid climate inferred from the nature of the Yanliao flora (Liu et al., 2010; Na et al., 2015; Na et al., 2017; Pott and Jiang, 2017).

Even though the present case study was based on the Daohugou area and mostly invertebrate fossils, it may have much wider application to the palaeoenvironment and biostratigraphic pathways of the Yanliao Biota in other areas, since the fossil-bearing strata in all these areas share many characteristics. For instance, in most known localities, the Yanliao fossils were preserved in laminated lacustrine deposits, which frequently alternate with thick volcanoclastic apron deposits (Sullivan et al., 2014; Huang, 2015; Xu et al., 2016). The fossiliferous laminae in these localities are closely associated with normally graded siltstone, claystone and tuff according to our field observations. However, further investigation on the palaeoenvironment and biostratigraphic features of the Yanliao Biota in other areas is needed to confirm the generality of our observations and interpretations.

## 6. Conclusions

Six lithofacies are recognized in the Daohugou Beds, and these form three facies associations in space and time: volcanoclastic apron, fan delta and lake floor. The stratigraphic succession shows that intense volcanic eruptions resulted in an extensive volcanoclastic apron and one or more lakes in the studied area. Thin lacustrine deposits frequently alternated with thick volcanoclastic apron deposits. This indicates either that the studied area was located in the marginal regions of a single lake, where frequent influxes of volcanoclastic apron material caused substantial fluctuations in lake area and thus the frequent lateral alternation of the two facies, or that many short-lived lakes developed on the volcanoclastic apron. Most terrestrial insects were preserved in the laminated, normally graded siltstone, claystone and tuff facies that forms many thin intervals with deposits of graded sandstone, siltstone and tuff in between. Within each interval the terrestrial insects occur in many laminae associated with abundant aquatic organisms but are particularly abundant in some laminae that directly underlie tuff of fallout origin. Most of these terrestrial insects are interpreted to have been killed during volcanic eruptions. Their carcasses were dropped or

transported by influxes of fresh volcanoclastic material, mostly comprising runoff but possibly including minor distal pyroclastic flow, into the studied lake(s). They then became rapidly buried before extensive decay could occur, probably due to a combination of rapid vertical settling, ash fall and water turbulence.

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## Data availability

Supplementary materials to this article can be found in the online version of the paper.

## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.palaeo.2018.09.030>.

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